



**GENPHARMASEC  
LIMITED**

CIN : L24231MH1992PLC323914

(Formerly known as Generic Pharmasec Limited)



Office No. 104 & 105 (1st Floor), Gundecha Industrial Premises  
Co-Operative Society Ltd., Akurli Road, Kandivali (East),  
Mumbai, Maharashtra, 400101



+91- 86555 50242



compliance@genpharmasec.com  
info@genpharmasec.com



www.genpharmasec.com

**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Heta Shah, Compliance Officer of GENPHARMASEC LIMITED , (as decided by the Company) am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place – YES, however Structured Digital Database does not have audit trails to ensure non-tampering of the database. The Company is in the process of Outsourcing the same with other Independent Agency.
2. control exists as to who can access the SDD – YES.
3. all the UPSI disseminated in the previous quarter have been captured in the Database – YES.
4. the system has captured nature of UPSI along with date and time – YES, however UPSI does not have audit trails to ensure non-tampering of the database. The Company is in the process of Outsourcing the same with other Independent Agency.
5. the database has been maintained internally and an audit trail is maintained – YES, the database is maintained internally however audit trail is not maintained to ensure non-tampering of the database. The Company is in the process of Outsourcing the same with other Independent Agency.
6. the database is non-tamperable and has the capability to maintain the records for 8 years – YES.

I also confirm that the Company was required to capture 1 number of events during the quarter ended and has captured 1 number of the said required events.





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I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: NIL

For GENPHARMASEC LIMITED

Heta Shah  
(Company Secretary & Compliance Officer)

Date : 31/10/2022

Place : Mumbai

